



PTO/SB/21 (04-04)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

| | | |
|---|------------------------|-------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/001,221 |
| | Filing Date | October 30, 2001 |
| | First Named Inventor | Schall, Thomas J. |
| | Art Unit | 1642 |
| | Examiner Name | Canella, Karen A. |
| Total Number of Pages in This Submission | Attorney Docket Number | 019934-004100US |

ENCLOSURES (Check all that apply)

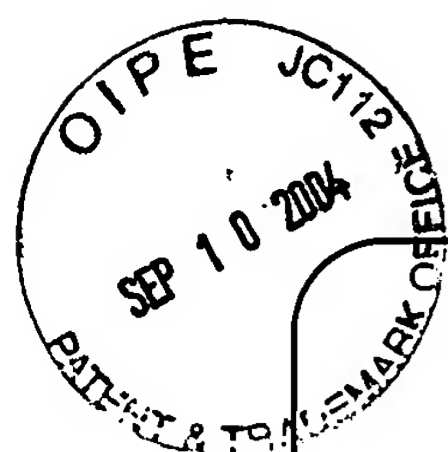
| | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard Supplemental Application Data Sheet |
| Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-------------------------|--|-----------------|
| Firm or Individual name | Townsend and Townsend and Crew LLP Scott L. Aussenhus | Reg. No. 42,271 |
| Signature | | |
| Date | 09/07/04 | |

CERTIFICATE OF TRANSMISSION/MAILING

| | | | |
|---|-----------------|------|--------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | |
| Typed or printed name | Tara N. Damhoff | | |
| Signature | | Date | 9.7.04 |



PTO/SB/17 (10-03)

DAE#
JAW**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 1330

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 10/001,221 |
| Filing Date | October 30, 2001 |
| First Named Inventor | Schall, Thomas J. |
| Examiner Name | Canella, Karen A. |
| Art Unit | 1642 |
| Attorney Docket No. | 019934-004100US |

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number

20-1430

Deposit
Account
Name

Townsend and Townsend and Crew LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 770 | 2001 | 385 | Utility filing fee | |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1)

(\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims | **- | | |
| Independent Claims | **- | | |
| Multiple Dependent | | | |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large | Entity | Small | Entity | Fee Description | Fee Paid |
|--|----------|----------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Petitions related to provisional applications | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) Petition Under 37 CFR 1.78(a)(6) To Accept Unintentionally Delayed Claim for Priority to Prior Filed Provisional Application | | | | | 1330 |

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)**

(\$) 1330

SUBMITTED BY

Complete (if applicable)

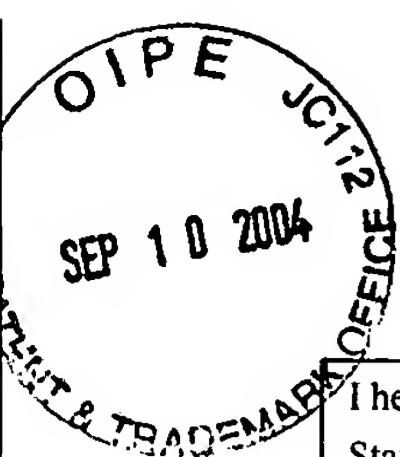
| | | | | | |
|-------------------|--------------------|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Scott L. Aussenhus | Registration No. (Attorney/Agent) | 42,271 | Telephone | 303-571-4000 |
|-------------------|--------------------|-----------------------------------|--------|-----------|--------------|

Signature

Date

09/07/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

On 9.7.04

TOWNSEND and TOWNSEND and CREW LLP

By: Tara N. Damhoff
Tara N. Damhoff

PATENT

Attorney Docket No.: 019934-004100US
Customer No. 20350

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas J. Schall

Application No.: 10/001,221

Filed: October 30, 2001

For: COMPOSITIONS FOR INDUCING AN
IMMUNE RESPONSE (AMENDED)

Customer No.: 20350

Confirmation No. 2004

Examiner: Canella, Karen A.

Art Unit: 1642

PETITION UNDER 37 C.F.R. §1.78(a)(3)
and §1.78(a)(6) TO ACCEPT
UNINTENTIONALLY DELAYED
CLAIM FOR PRIORITY TO PRIOR
FILED PROVISIONAL APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the subject application be provided the benefit of a prior filed copending provisional application. To support this claim for priority, Applicants provide the following:

(i) The reference required by 35 U.S.C. § 119(e) and 37 C.F.R. §1.78(a)(3) and §1.78 (a)(6) is included in the attached supplemental application data sheet and is also being added under an Amendment filed concurrently herewith. The reference now recites:

This application is a continuation-in-part of U.S. Application No. 09/834,814, filed April 12, 2001, which is incorporated by reference in its entirety, and a

Appl. No. 10/001,221
Petition under 37 CFR §1.78(a)(3)
Reply to Office Action of June 3, 2004

PATENT

continuation-in-part of PCT Application PCT/US01/12162, filed April 12, 2001, both of which claim the benefit of U.S. Provisional Application No. 60/198,839, filed April 21, 2000.

(ii) The surcharge set forth in §1.17(t) in the amount of \$1330.00 is hereby authorized to be deducted from Deposit Account No. 20-1430.

(iii) Applicants hereby state that the entire delay between the date the claim was due under paragraph (a)(2)(ii) of 37 C.F.R. § 1.78 and the date on which this Petition was filed was unintentional.

As Applicants have complied with all requirements for claiming the benefit of PCT application PCT/US01/12162 and U.S. Provisional Application No. 60/198,839, and priority from the other named applications, Applicants respectfully request that priority be granted.

Respectfully submitted,



Scott L. Ausenhus
Reg. No. 42, 271

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: 650-326-2400 / Fax: 415-576-0300
SLA:tnd
60300463 v1



Supplemental Application Data Sheet

Application Information

Application number:: 10/001,221
Filing Date:: 10/30/01
Application Type:: Regular
Subject Matter:: Utility
Title:: METHODS AND COMPOSITIONS FOR
INDUCING AN IMMUNE RESPONSE
Attorney Docket Number:: 019934-004100US
Request for Early Publication:: No
Request for Non-Publication:: No
Small Entity?:: Yes
Petition included?:: No
Secrecy Order in Parent Appl.: No

Applicant No. 1 Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Thomas
Middle Name:: J.
Family Name:: Schall
City of Residence:: ~~Menlo Park~~ Palo Alto
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: ~~2050 Mill Avenue~~ 563 Homer Avenue
City of Mailing Address:: ~~Menlo Park~~ Palo Alto
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94025 94301

Applicant No. 2 Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: Australia
Status:: Full Capacity
Given Name:: Maureen
Family Name:: Howard
City of Residence:: Los Altos
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 12700 Viscaino Road
City of Mailing Address:: Los Altos
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94022

Applicant No. 3 Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Robert
Family Name:: Berkovitz
City of Residence:: San Francisco
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 32 Dorland Street, #2
City of Mailing Address:: San Francisco
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94110

Applicant No. 4 Information

| | | |
|---|--|---------------------------|
| Applicant Authority Type:: | Inventor | |
| Primary Citizenship Country:: | Canada | |
| Status:: | Full Capacity | |
| Given Name:: | Brett | |
| Family Name:: | Premack | |
| City of Residence:: | San Carlos | <u>San Francisco</u> |
| State or Province of Residence:: | CA | |
| Country of Residence:: | US | |
| Street of Mailing Address:: | 409 Portofino Drive, Apt. 2 | <u>155 Jackson, #2008</u> |
| City of Mailing Address:: | San Carlos | <u>San Francisco</u> |
| State or Province of mailing address:: | CA | |
| Country of mailing address:: | US | |
| Postal or Zip Code of mailing address:: | 94070 | 94111 |

Applicant No. 5 Information

| | | |
|---|---------------------|-------|
| Applicant Authority Type:: | Inventor | |
| Primary Citizenship Country:: | Canada | |
| Status:: | Full Capacity | |
| Given Name:: | Dale | |
| Family Name:: | Talbot | |
| City of Residence:: | San Francisco | |
| State or Province of Residence:: | CA | |
| Country of Residence:: | US | |
| Street of Mailing Address:: | 67 Brentwood Avenue | |
| City of Mailing Address:: | San Francisco | |
| State or Province of mailing address:: | CA | |
| Country of mailing address:: | US | |
| Postal or Zip Code of mailing address:: | 94025 | 94127 |

Correspondence Information

Correspondence Customer Number:: 20350

Representative Information

Representative Customer Number:: 20350

Domestic Priority Information

| Application:: | Continuity Type:: | Parent Application:: | Parent Filing Date:: |
|-------------------------|--------------------------------|-----------------------|----------------------|
| <u>This application</u> | <u>Continuation-in-part</u> | <u>09/834,814</u> | <u>04/12/2001</u> |
| <u>This application</u> | <u>Continuation-in-part</u> | <u>PCT/US01/12162</u> | <u>04/12/2001</u> |
| <u>09/834,814</u> | <u>An application claiming</u> | <u>60/198,839</u> | <u>04/21/2000</u> |
| <u>PCT/US01/12162</u> | <u>the benefit under 35</u> | | |
| | <u>USC 119(e)</u> | | |

Assignee Information

Assignee Name:: ChemoCentryx
Street of mailing address:: 1539 Industrial Road
City of mailing address:: San Carlos
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94070